#3 4-5-02

S/N Unknown

**PATENT** 

## IN THE CHIED STATES PATENT AND TRADEMARK OFFICE

Applicant:

UCHIDA et al.

Examiner:

Unknown

Serial No.:

10/038189

Group Art Unit:

Unknown

Filed:

October 24, 2001

Docket No.:

10873.878US01

Title:

OPTICAL DISK AND METHOD FOR PRODUCING THE SAME

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on February 26, 2007.

y: Nicole Landree

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Japanese Application 6 290,496 corresponds to US Patent 6,027,825.

Japanese Application 2001-126332 corresponds to US Patent 2001/0018107.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

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Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

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Bv:

Douglas P. Mueller

Reg. No. 30,300

Dated: February 26, 2002

DPM/nel

v.J. . \*